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S Y S T E M S

Optimize to **Exceed**



Copper Alliance™

# *Advantages of Small Diameter Copper Tube-Fin Heat Exchangers*

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# Who are we?



- Pre-competitive
- Publishable R&D
- Shared by 30+ member companies
- Conducted by MS, PhD Students
- *General purpose software*

Exclusive  
License



- Proprietary R&D
- Confidential
- Contracts with specific clients
- Conducted by full-time professional staff
- *Customized Software*



- **Mission:** *Defend and grow markets for copper based on its superior technical performance and its contribution to a higher quality of life worldwide.*
- **Members:** Copper mining and copper fabricating companies worldwide
- **Main activities:**
  - Partner with governments, regulators, and NGOs to implement sustainable development initiatives
  - Conduct and disseminate scientific studies related to copper in health and the environment
  - Develop and transfer new technologies supporting copper applications

## Presenters:



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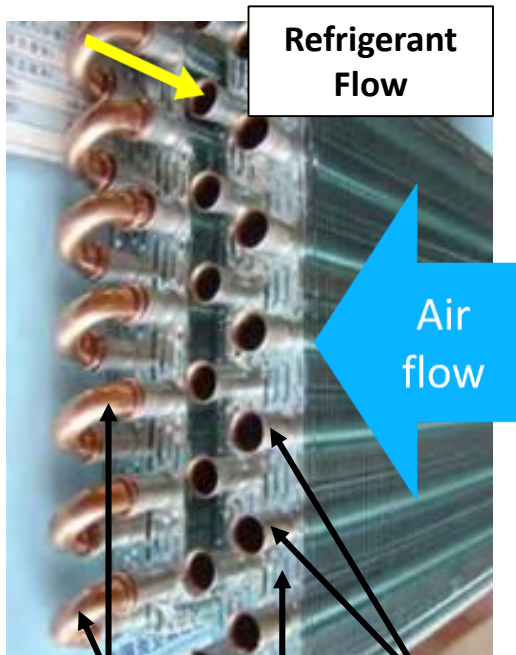
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- **Applications**

# Introduction

## Background

# Tube-Fin Heat Exchanger

## Parts and Working fluids

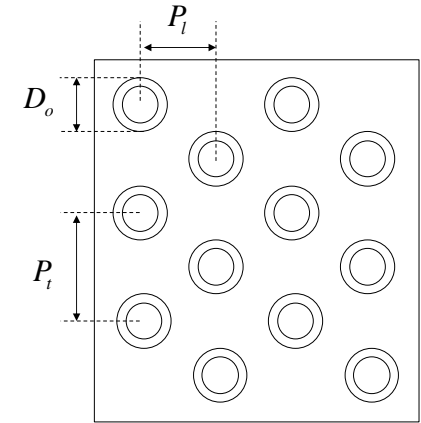
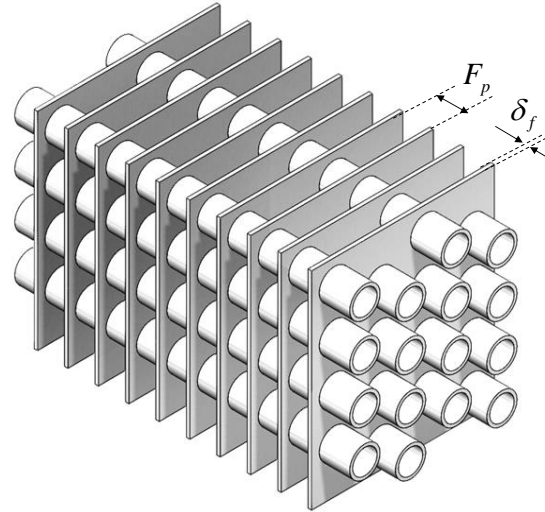


U-Bends

Tubes

Fins (Extended Surfaces)

## Nomenclature



$D_o$  – Outer diameter

$D_i$  – Inner Diameter

$\delta_w$  – Wall thickness

$\delta_f$  – Fin thickness

$P_t$  – Transverse pitch

(vertical spacing)

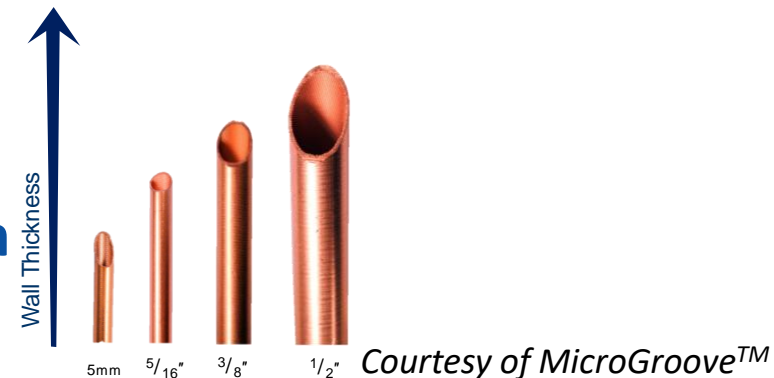
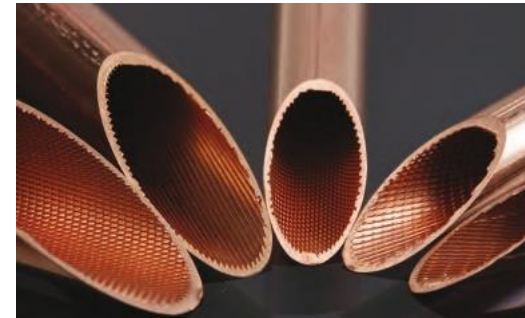
$P_l$  – Longitudinal pitch  
(horizontal spacing)

$F_p$  – Fin pitch

FPI – Fins Per Inch

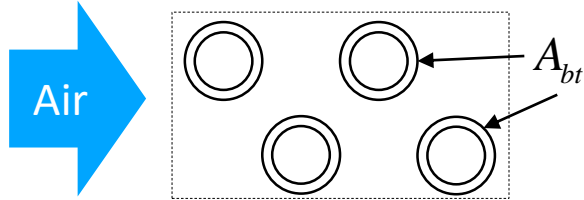
# Copper Tubes

- High thermal conductivity ( $\sim 380\text{W/m.K}$ )  $\rightarrow$  low wall thermal resistance
- Corrosion resistance
- Biofouling resistance
- Antimicrobial properties  $\rightarrow$  reduce material build up, potentially fouling
- Soft metal; Pliable  $\rightarrow$  ease of inner grooving
- Small diameter – thinner walls:
  - Lower thermal resistance
  - Withstands higher pressures with thinner walls (e.g. CO<sub>2</sub>)



# Fins & Enhancements

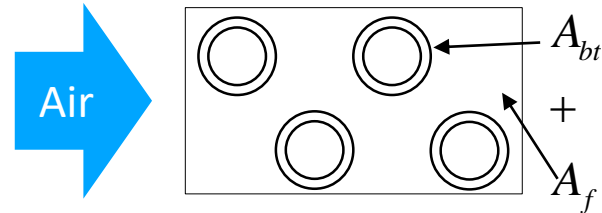
Finless (Bare) Tubes -  $bt$



Heat Load  $\dot{Q}_{bt} = U \cdot A_{bt} \cdot \Delta T_{ml}$

Heat Transfer Coefficient  $U$       Surface Area  $A_{bt}$       Mean Log. Temp. Diff.  $\Delta T_{ml}$

Finned Tubes -  $ft$



Heat Load  $\dot{Q}_{ft} = U \cdot (A_{bt} + A_f) \cdot \Delta T_{ml}$

$\dot{Q}_{ft} > \dot{Q}_{bt}$

**More compact!**

## In-tube

Smooth

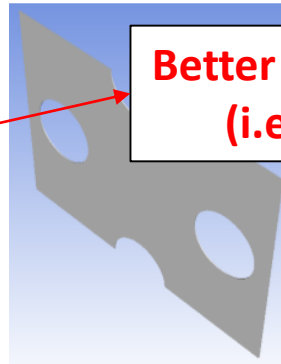


Grooved (Microfins)



## External Fins

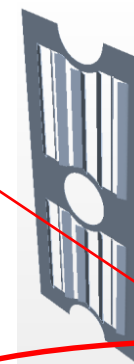
Flat



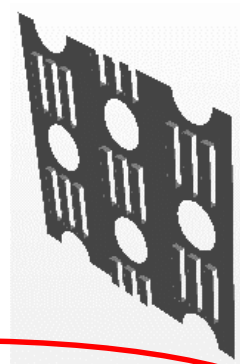
Wavy



Louver



Slits



**Better Heat Transfer!  
(i.e. higher U)**

# Introduction

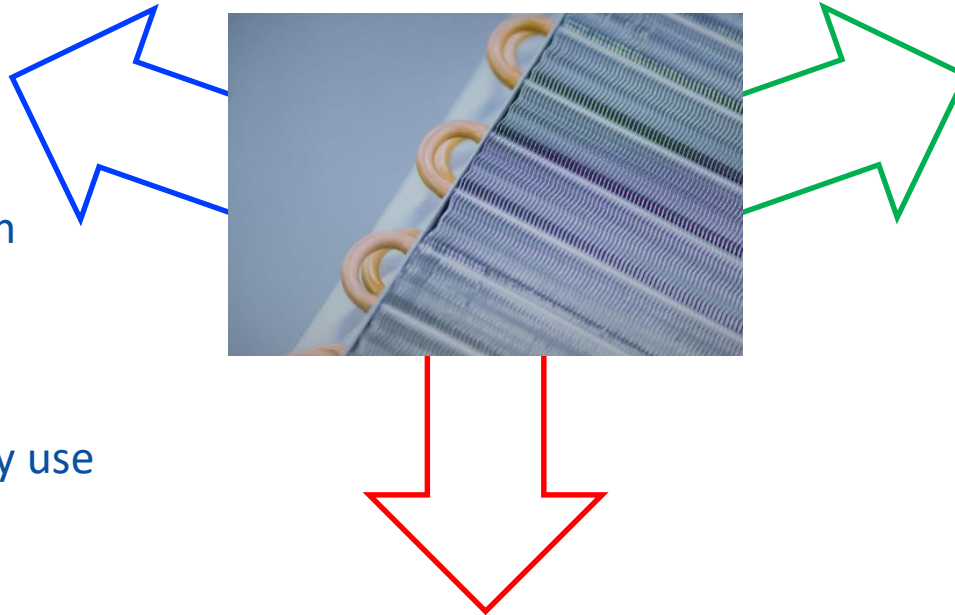
## Motivation



# Motivation - What drives heat exchanger design?

## Energy Efficiency

- Energy consumed in buildings
  - COP
  - Billing Cost
  - Primary energy use
  - CO<sub>2</sub> emissions
- Partial load



## Environment and Safety

- Direct refrigerant emissions
- Footprints (e.g. CO<sub>2</sub>, end-of-life equipment)
- Material (resources)

## Cost

- Material
- Tooling
- Size / Weight

# Air-to-Fluid Heat Exchangers (HX)

- Worldwide push for more efficient HXs
  - Enhanced fins and tubes (internally) → limited
  - Size increase → Increase surface area while also increasing volume

TIME

- Larger → shipping and space issues, more expensive
- Heavier → shipping
- More refrigerant charge → weight, GWP
- Partial load operations → oversized



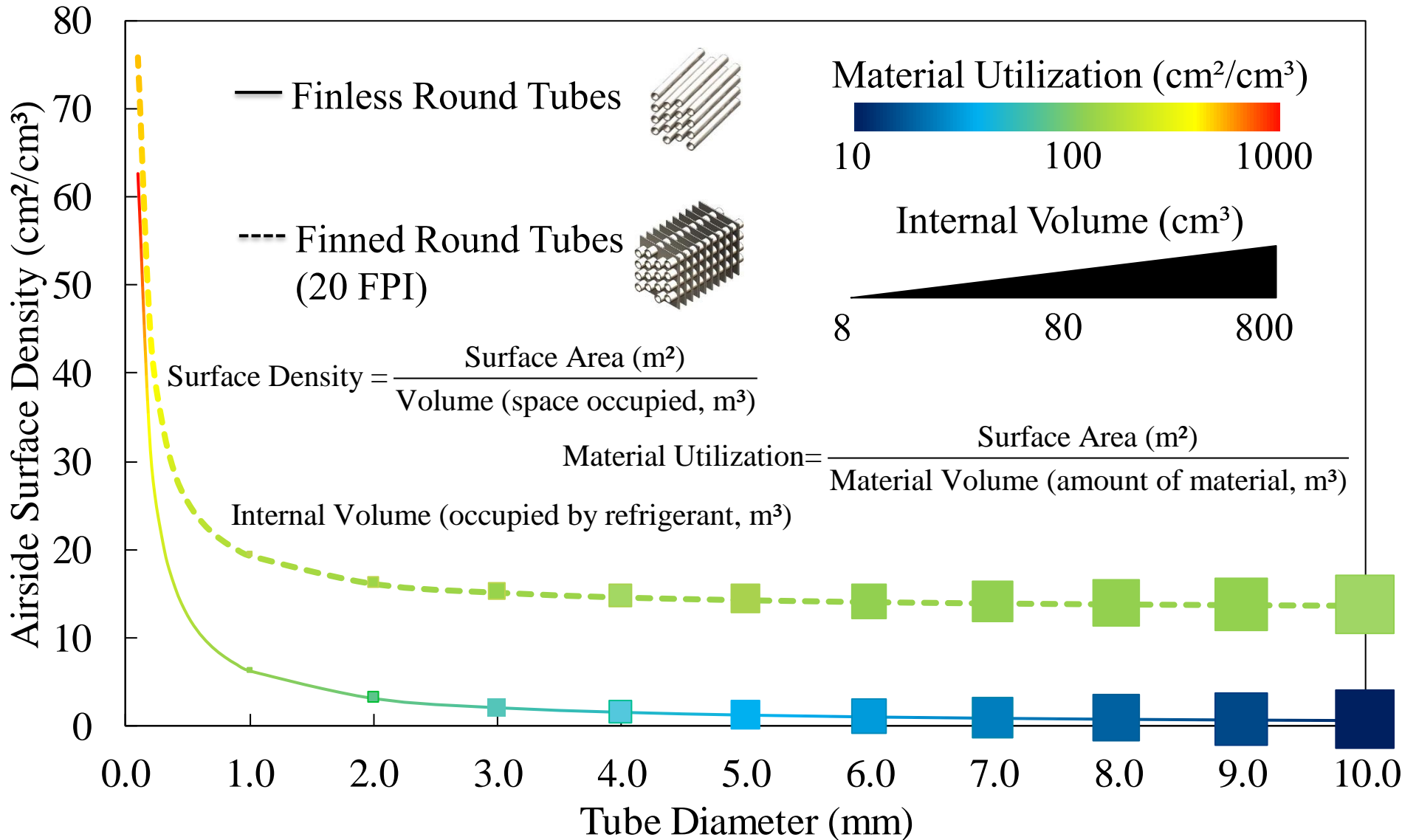
1. Small diameter tubes fundamentals
  - Performance → higher effectiveness, COPs
  - Overall size → weight, cost, space
  - Charge → environmental impact, weight
2. Heat exchanger design Challenges and Considerations
3. Accessible and inexpensive design tools
4. Present example cases where the three above objectives were successfully applied

**70% material cost reduction, 50%+ charge reduction, 60% pressure drop reduction**

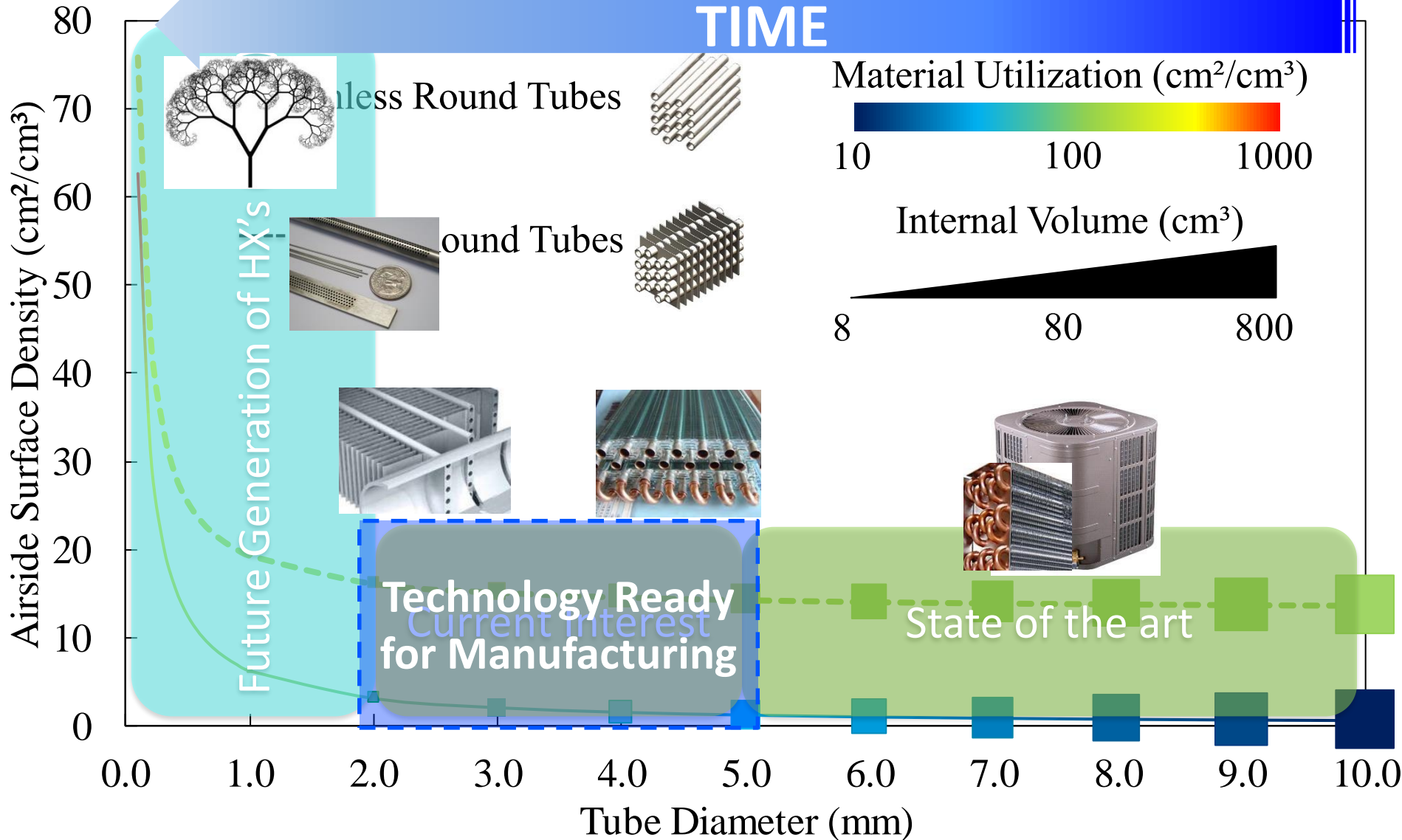
# Fundamentals

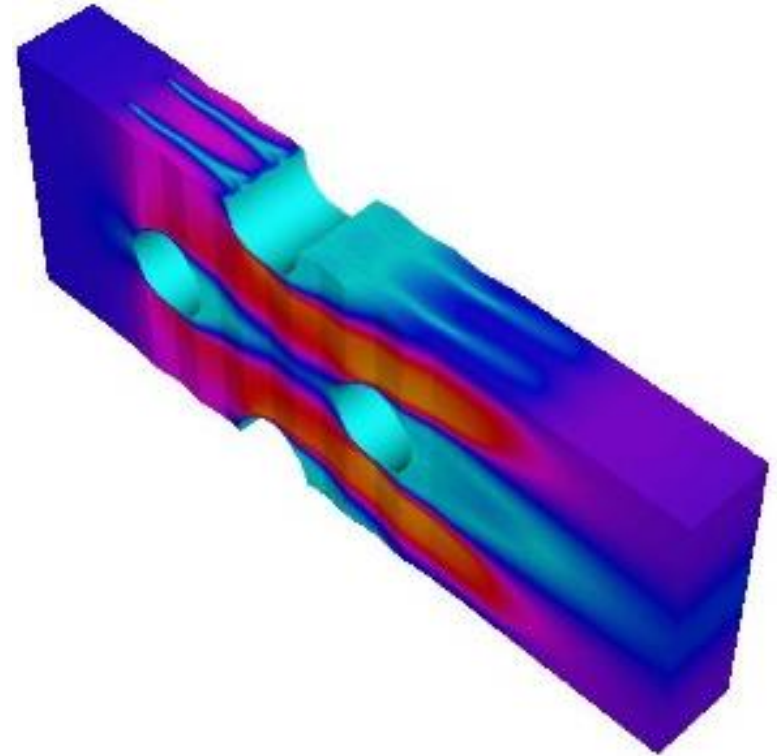
## First Order Analysis

# First Order Analysis



# First Order Analysis (cont'd)





# Fundamentals

Air flow thermal-hydraulic performance

# Air Heat Transfer Coefficient ( $h$ ) vs. $D_h$

$$Nu \propto Re^m Pr^n$$



$$h \propto D_h^{m-1} \cdot u^m$$

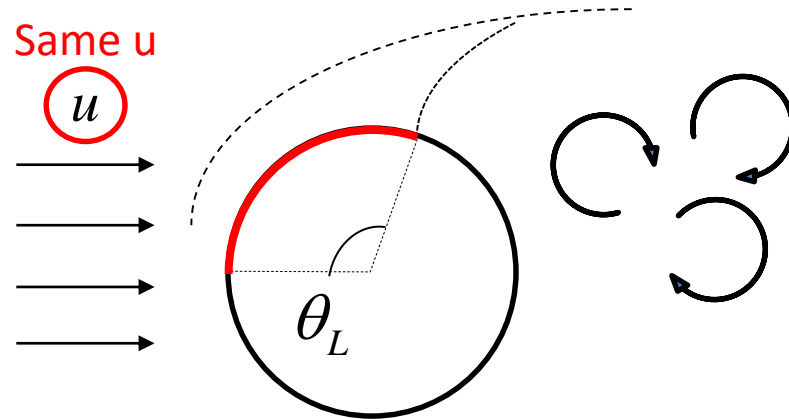
$$0 < m < 1$$



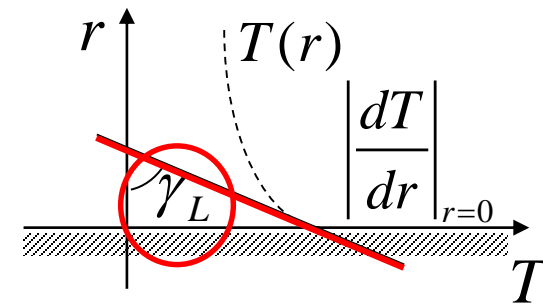
$$D_h \downarrow \Rightarrow h \uparrow$$

$$u \uparrow \Rightarrow h \uparrow$$

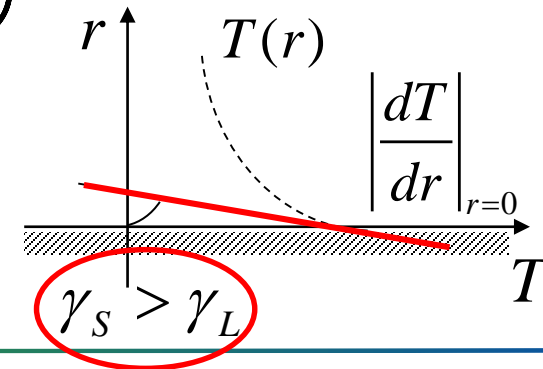
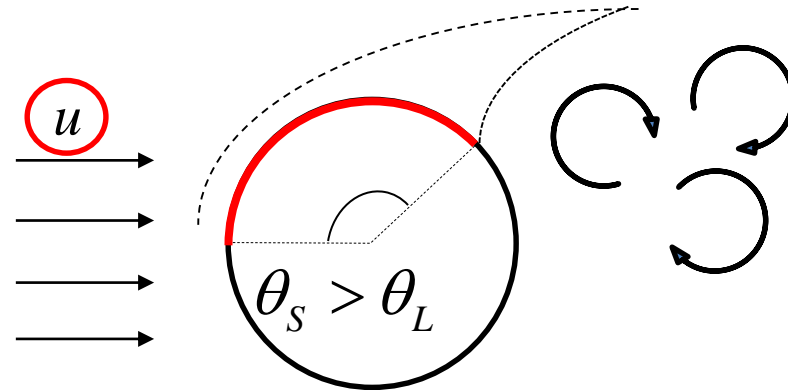
## a) Large Diameter Tube



$$h \propto \left. \frac{dT}{dr} \right|_{r=0}$$



## b) Small Diameter Tube





# Air Friction Factor (f) vs. $D_h$

$$f \propto \text{Re}^p$$



$$f \propto D_h^p \cdot u^p$$

$$p < 0$$

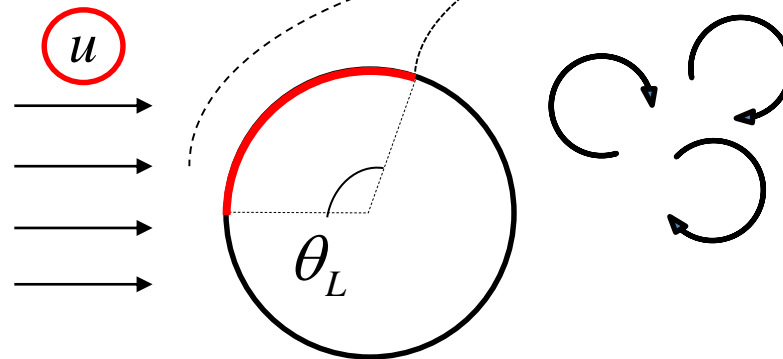


$$D_h \downarrow \Rightarrow f \uparrow$$

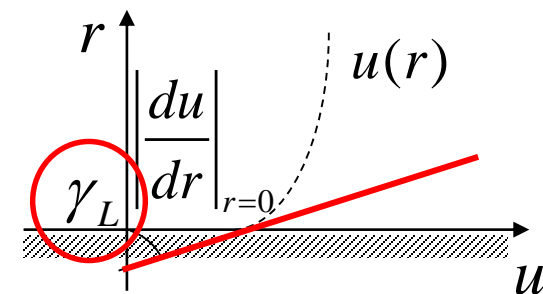
$$u \uparrow \Rightarrow f \downarrow \rightarrow \Delta P \uparrow \propto u^2 \uparrow$$

a) Large Diameter Tube

Same  $u$

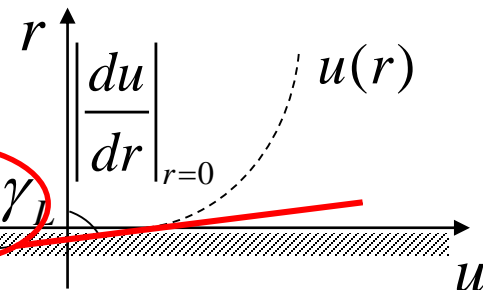
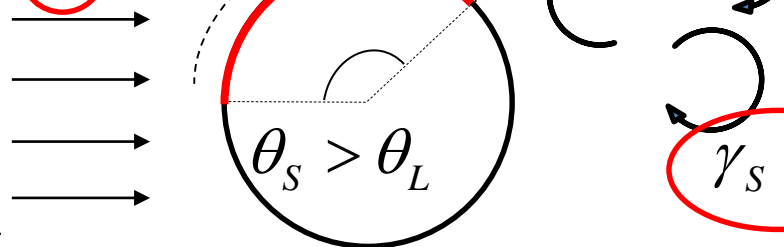


$$f \propto \left. \frac{du}{dr} \right|_{r=0}$$



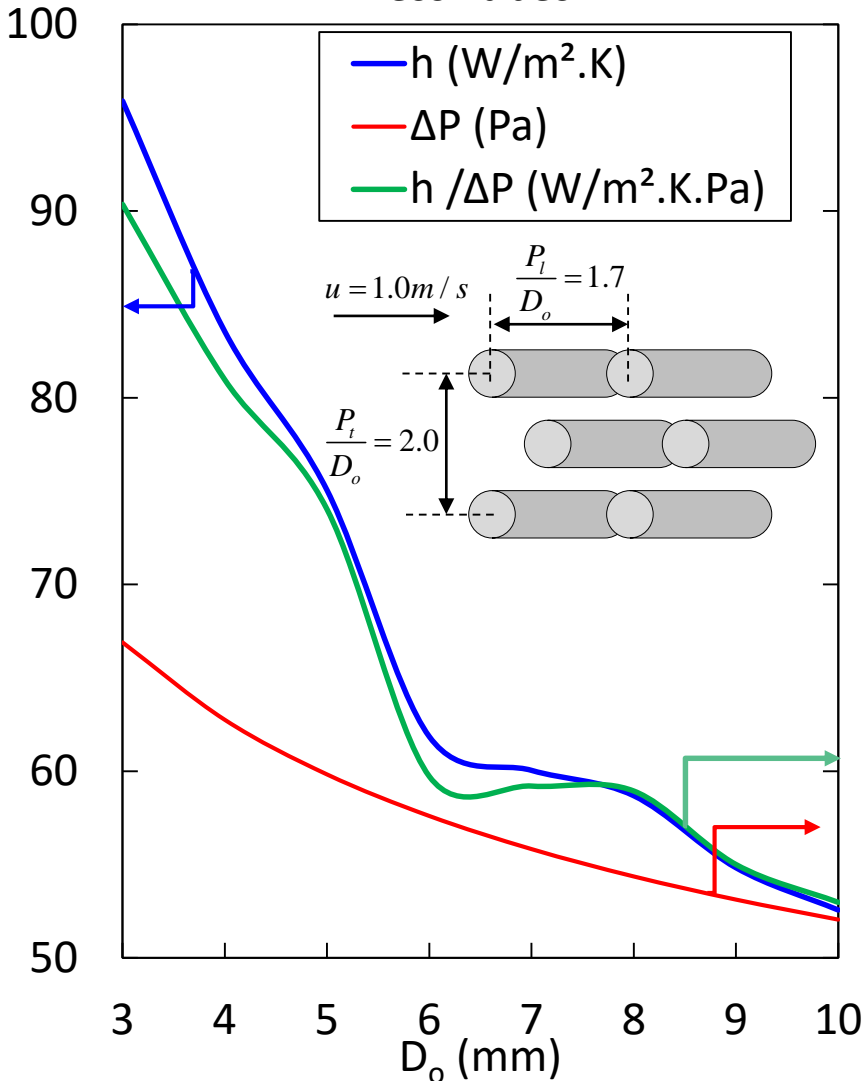
b) Small Diameter Tube

Same  $u$

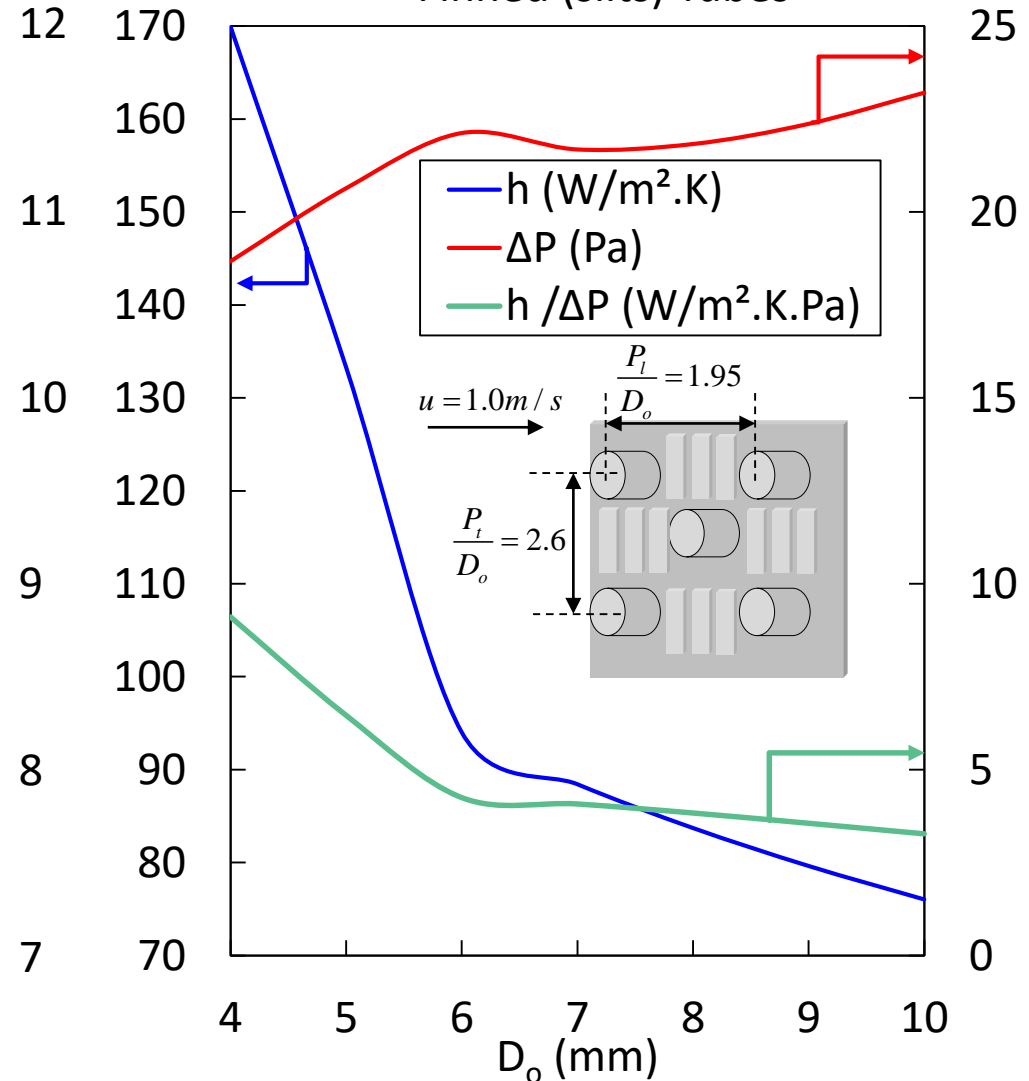


# Example: Dry Air (1.0 atm, 300K)

Finless Tubes



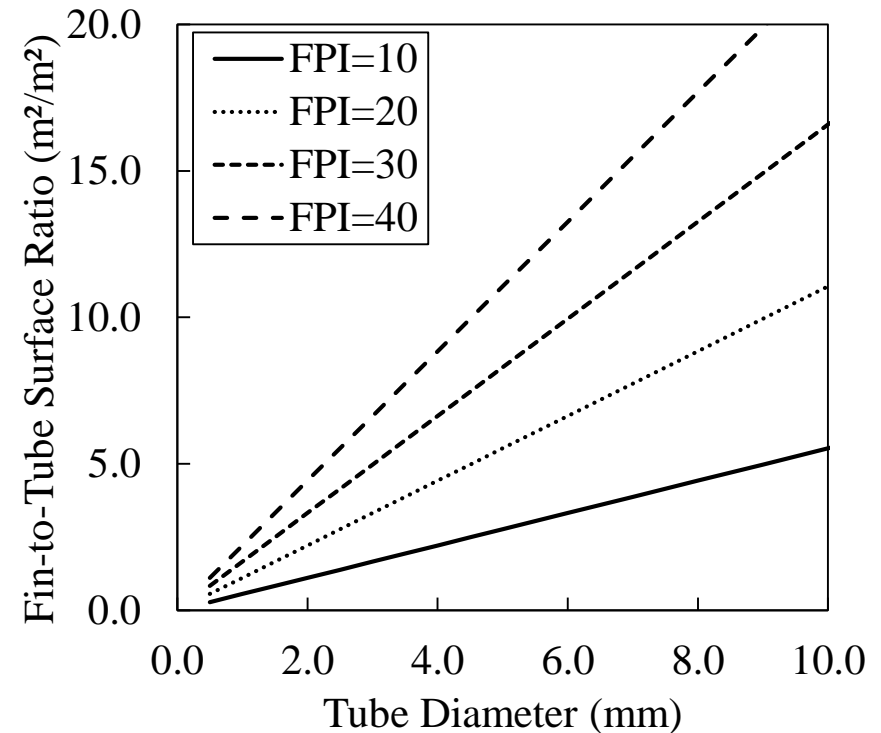
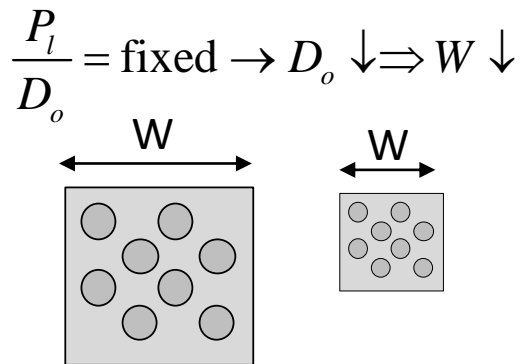
Finned (slits) Tubes



- $D_o \downarrow \rightarrow$  Relative fin surface area  $\downarrow$

- Impact (positive) on  $\Delta P$
- *Less contribution to heat transfer*

- Airflow passage depth

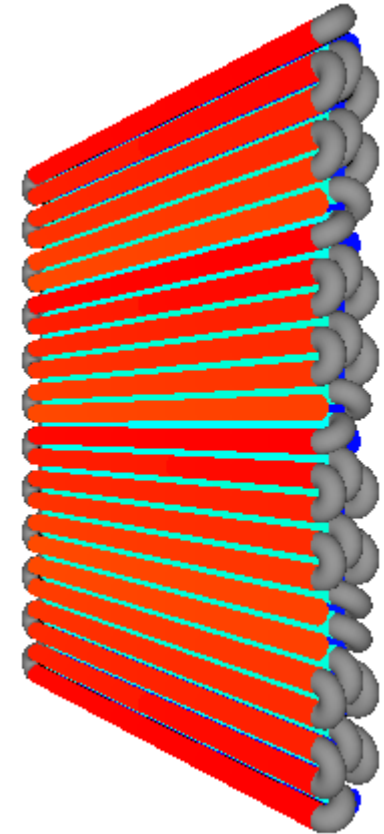


- Friction resistance  $\neq \Delta P$

(surface characteristic) vs. (HX characteristic)

# Fundamentals

Refrigerant flow thermal-hydraulic performance



$$Nu \propto Re^m Pr^n$$



$$h \propto D_h^{m-1} \cdot u^m$$

$$0 < m < 1$$



$$D_h \downarrow \Rightarrow h \uparrow$$

$$f \propto Re^p$$



$$f \propto D_h^p \cdot u^p$$

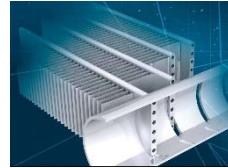
$$p < 0$$



$$D_h \downarrow \Rightarrow f \uparrow$$

## Microchannel with headers

- Small  $D_h$ :
  - $h \uparrow$
  - Compactness  $\uparrow$
- Multiple distribution tubes
  - $\dot{m}'' \downarrow$
  - Refrigerant path length  $\downarrow$ 
    - $\Delta P$
  - Flow maldistribution
  - Header  $\Delta P$

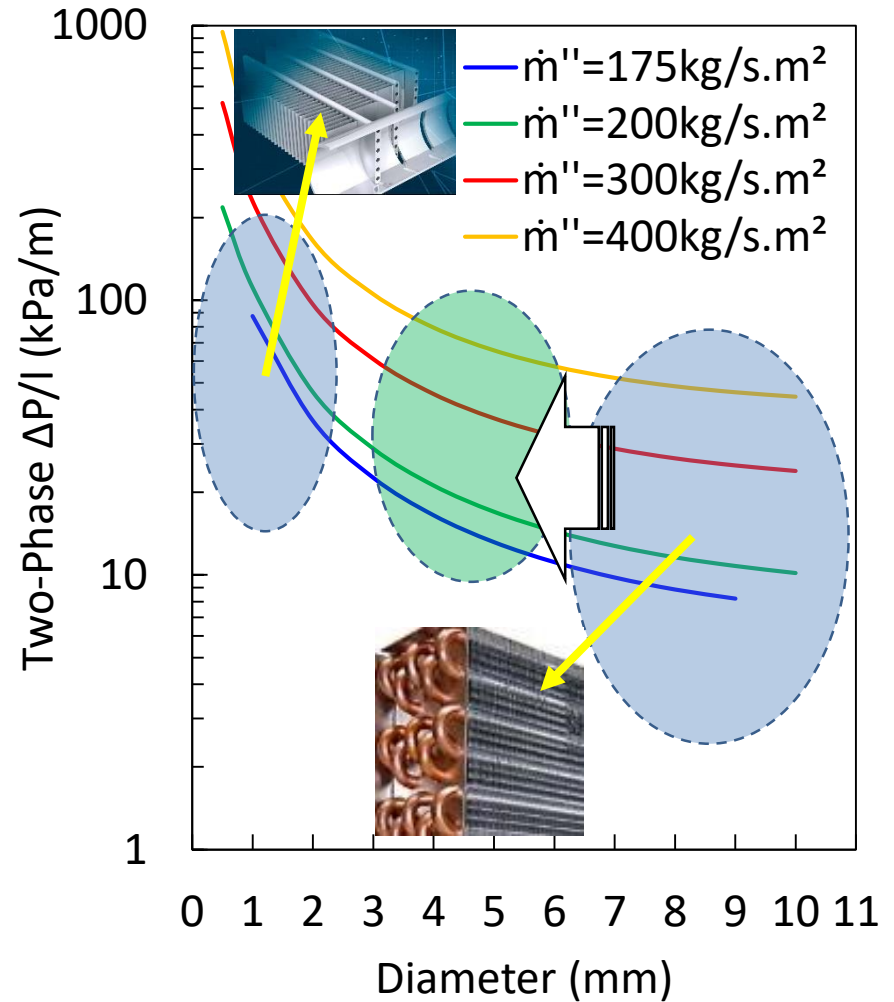
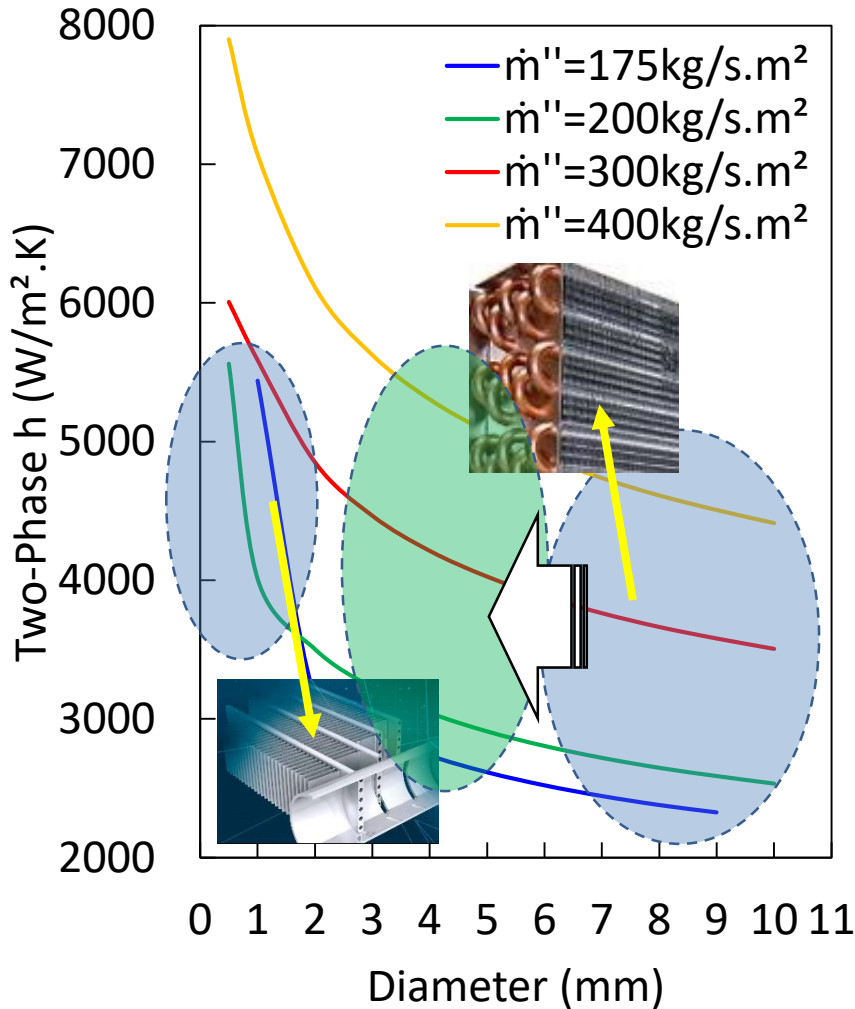


## Tube-fin with serpentine circuits

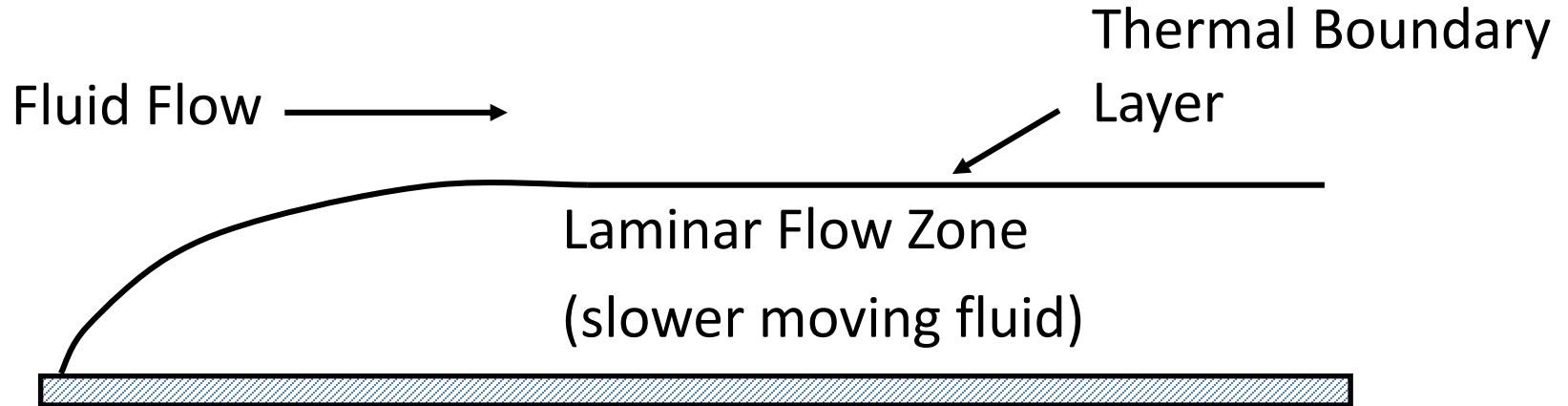
- Large  $D_h$ :
  - $h \downarrow$
  - Compactness  $\downarrow$
- Few circuits
  - $\dot{m}'' \uparrow$
  - Refrigerant path length  $\uparrow$ 
    - $\Delta P$



# Example: Two-Phase R410A (2.7MPa)

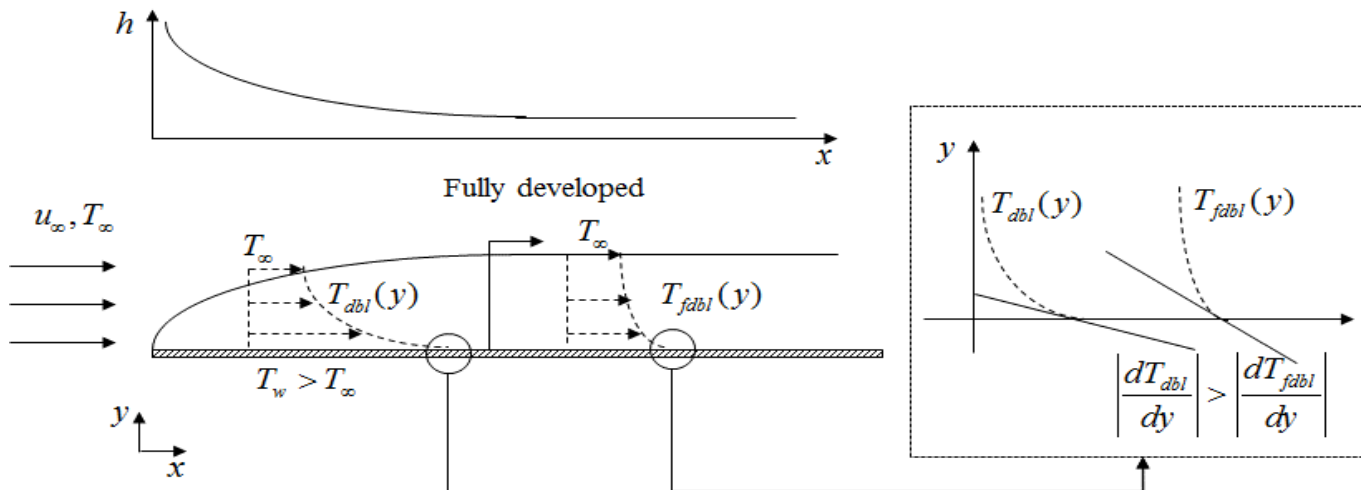


# Internally Enhanced Tubes



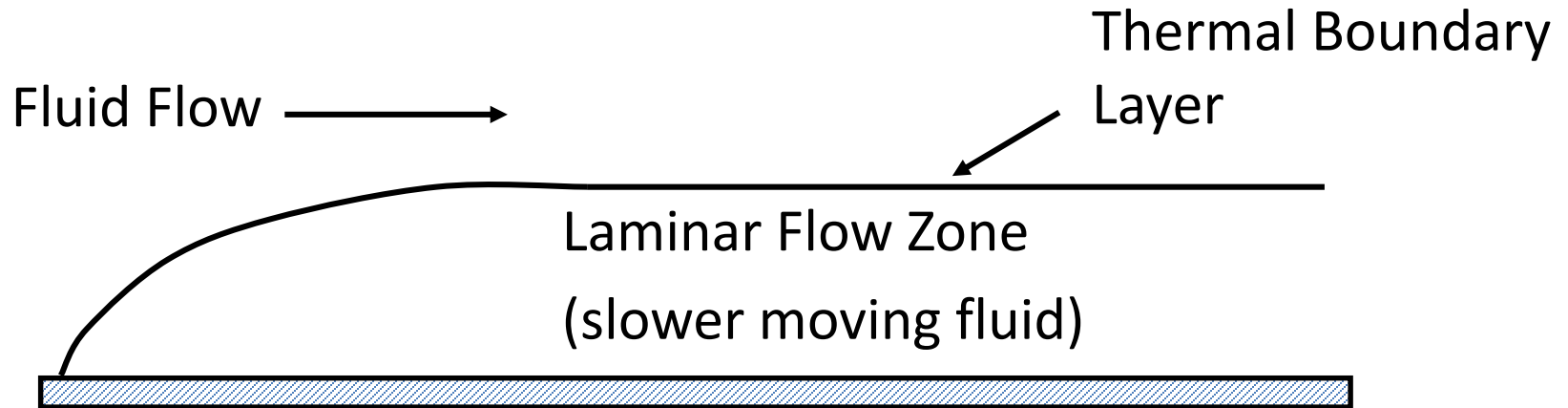
## Smooth Internal Tube Wall

*Courtesy of MicroGroove™*



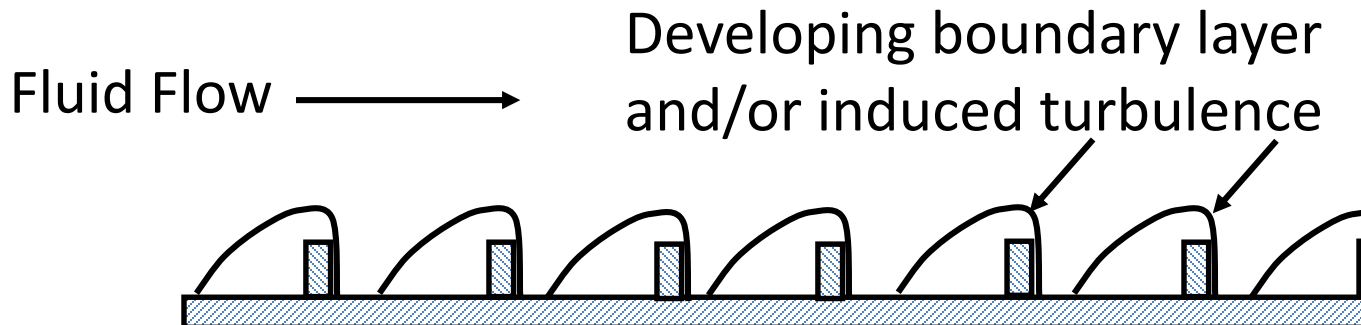
*Courtesy of MicroGroove™*

# Internally Enhanced Tubes (cont'd)



## Smooth Internal Tube Wall

*Courtesy of MicroGroove™*

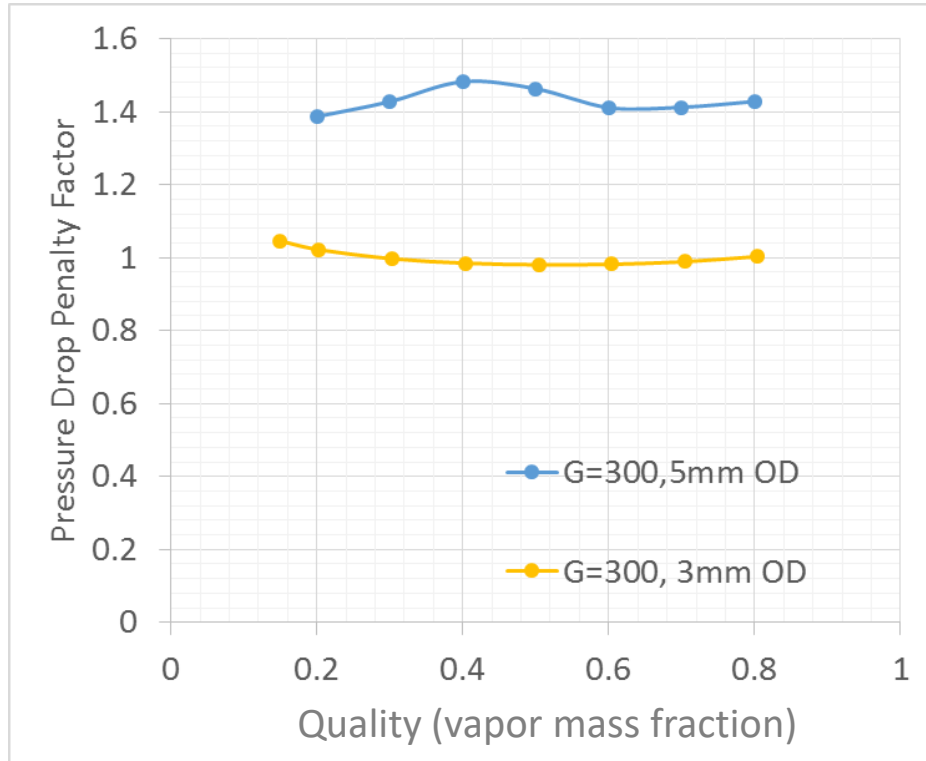
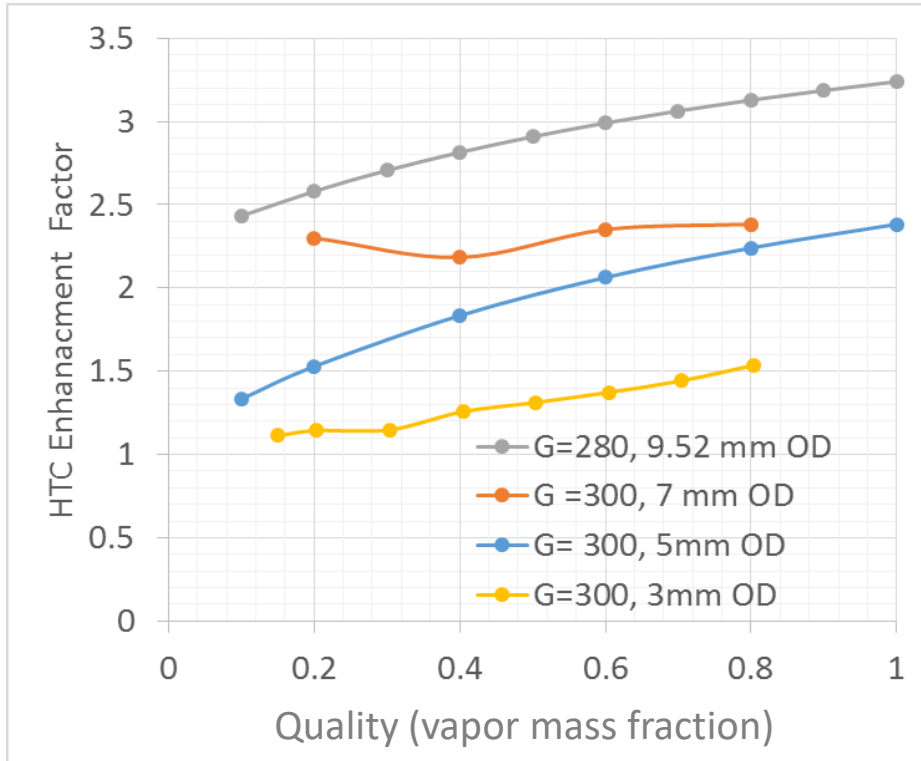


## MicroGroove Tube

*Courtesy of MicroGroove™*



# Internally Enhanced Tubes (cont'd)

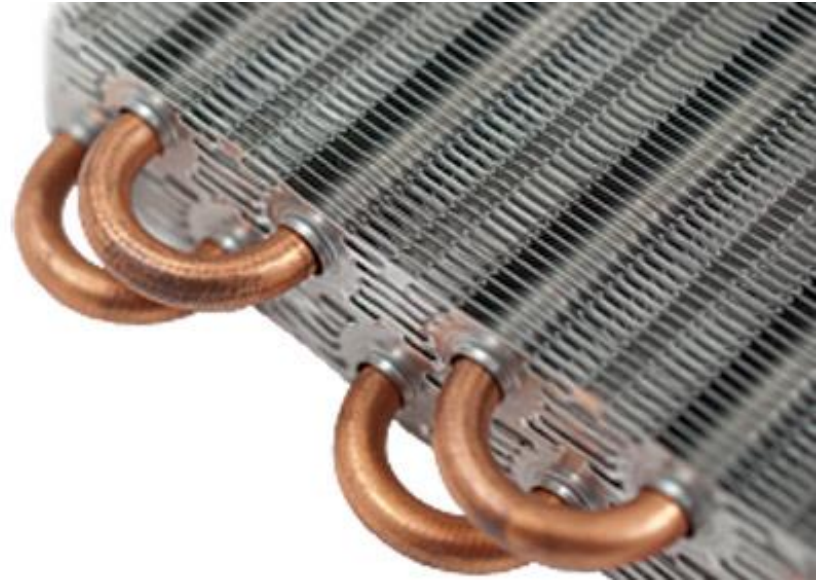


$G = \text{Mass flux (kg/s.m}^2\text{)}$

- Quality  $\downarrow \rightarrow$  HTC enhancement  $\downarrow$
- $D_o \downarrow \rightarrow$  HTC enhancement  $\downarrow$
- $\Delta P$  Penalty Factor somewhat constant
- $D_o \downarrow \rightarrow \Delta P$  Penalty Factor  $\downarrow$

# Summary – Fundamentals

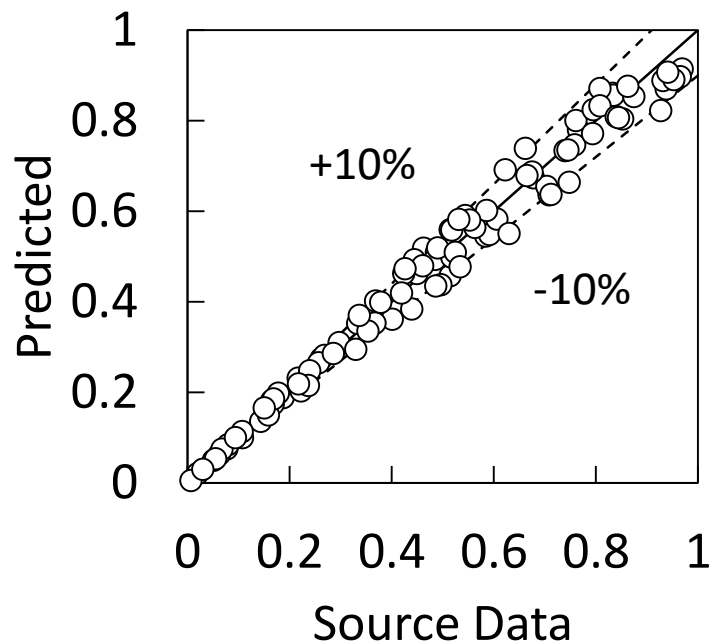
- **First Order Analysis**
  - More compact surfaces (more area per volume)
  - Less material consumption for same area
  - Smaller internal volume (less charge)
- **Airside Thermal Hydraulic Characteristics**
  - Higher Heat Transfer Coefficients at same velocities and Reynolds
- **Refrigerant Side Thermal Hydraulic Characteristics**
  - Higher Heat Transfer
  - Inner grooves enhance heat transfer regardless the tube diameter
- **Challenges & Disadvantages**
  - Higher friction factor → design changes to reduce pressure drop
  - Inner grooves enhancement reduce with tube diameter



# Heat Exchanger Design

# Design Considerations

- **Airside friction resistance**
  - Face area → reduce velocity (although typically undesirable)
  - Tube pitches → increase minimum free flow area
  - Fin density
- **Refrigerant pressure drop**
  - More circuits → reduce mass flux and flow length
  - Shorter tubes → reduce flow length
- **Manufacturing (Webinar #02)**
  - Fin collars → minimum fin density
  - Tube expansion
  - Fin dies, types, material, thickness
  - Number of tubes → Number of joints



# Heat Exchanger Design

## Correlations

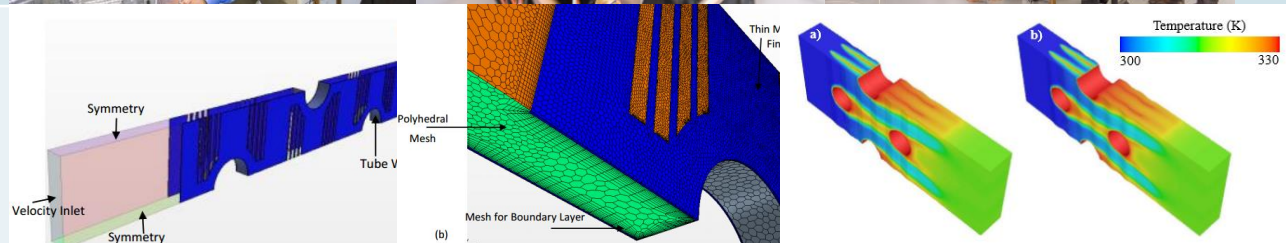
# Importance of Correlations

Three methods to assess performance:

Experimental



Numerical (e.g. CFD)



Approximation  
(correlations)

$$j = c_1 \text{Re}_{D_o}^{P_1} N_t^{P_2} \left( \frac{F_p}{D_o + 2\delta_f} \right)^{P_3} \left( \frac{P_t}{D_o} \right)^{P_4} \left( \frac{P_l}{D_o} \right)^{c_2}$$

$$f = c_1 \text{Re}_{D_o}^{P_1} N_t^{P_2} \left( \frac{F_p}{D_o + 2\delta_f} \right)^{P_3} \left( \frac{F_p}{D_o} \right)^{P_4} \left( \frac{F_p}{P_t} \right)^{c_2}$$

# Importance of Correlations (cont'd)

Method	Accuracy	Engineering Cost	Capital Cost	Computational Cost
Experimental	Very High	High	Very High	Low
Numerical (e.g. CFD)	High	Medium	High	Very High
Approximation (correlations)	Medium-High	Low	Low	Very Low

**Most cost-effective in assessing performance!**

# Literature Survey - Empirical

Author	Year	Fin type	Tube arrangement	Applicability	Regression Uncertainty
Grimison	1937	No fins	Inline/ Staggered	$Re > 2000$ ( $D_h$ range unknown) $1.25 \leq Pt \leq 3.0 D_o$ $0.6 \leq PI \leq 3.0 D_o, 3 \leq N \leq 9$	N/A
Žukauskas	1972	No fins	Inline/ Staggered	$1 \leq Re \leq 10^6$ ( $D_h$ range unknown) $1.25 \leq PI, Pt \leq 3.0 D_o$	N/A
McQuiston	1978	Plain	Staggered	$9.675 \leq D_o \leq 16.13\text{mm}$ ; $1.0 \leq u \leq 4\text{m/s}$ $25.4\text{mm} \leq PI, Pt \leq 50.8\text{mm}$	15%
Gray	1986	Plain	Staggered	$D_o > 9.96\text{mm}$ , $500 \leq Re \leq 24000$ $N \leq 4, 1.7 \leq PI, Pt \leq 2.6 D_o$	7.3% (HT), 13% (F)
Webb	1990	Plain	Staggered	$D_c \geq 7.95\text{mm}, 2 \leq N \leq 6$ $1.15 \leq Pt/PI \leq 1.57$	5%
Kim et al.	1999	Plain	Staggered	$7.3 \leq D_o \leq 19.3\text{mm}$	20%
Wang et al.	2001	Plain	Staggered	$6.35 \leq D_c \leq 12.7\text{mm}, 1 \leq N \leq 6$ $17.78 \leq Pt \leq 31.75\text{mm}, 2.1 \leq PI \leq 7.1\text{mm}$	15%
Webb	1990	Wavy	Staggered	$D_c \geq 7.95\text{mm}, N = 3$ $Pt/PI = 1.15$	5%
Kim et al.	1997	Wavy	Inline/ Staggered	$D_o = 10.46, 500 \leq Re \leq 9000$ $1 \leq N \leq 8, 1.16 \leq Pt/PI \leq 1.33$	10% (HT), 15% (F)
Wang et al.	2002	Wavy	Staggered	$7.66 \leq D_c \leq 16.85\text{mm}, 12 \leq PI \leq 33\text{mm}$ $1 \leq N \leq 6; 21 \leq Pt \leq 38\text{mm}$	15%
Wang et al.	1999	Louver	Staggered	$6.93 \leq D_c \leq 10.4, 17.7 \leq Pt \leq 25.4\text{mm}$ $1 \leq N \leq 6, 12.7 \leq PI \leq 19.05\text{mm}$	15%
Wang et al.	1999	Slit	Staggered	$D_c = 10.34\text{mm}, 1 \leq N \leq 6$	10%

$D_o > 6.0\text{mm}$



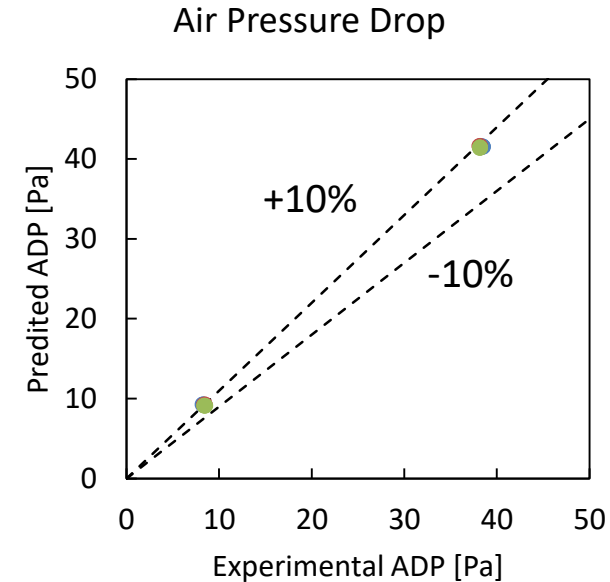
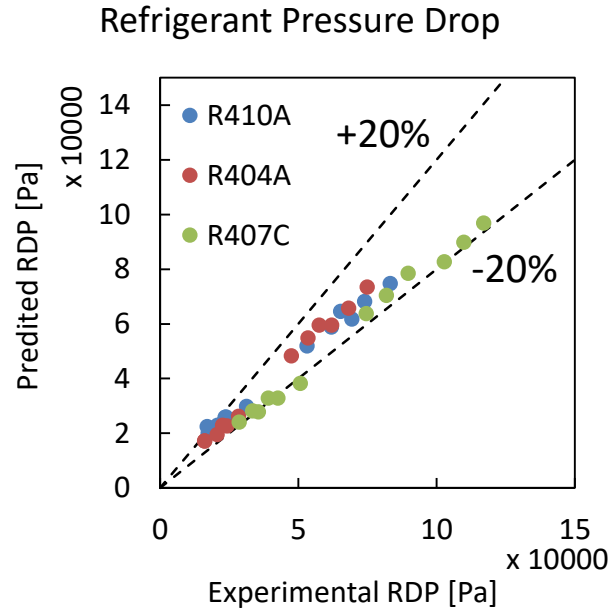
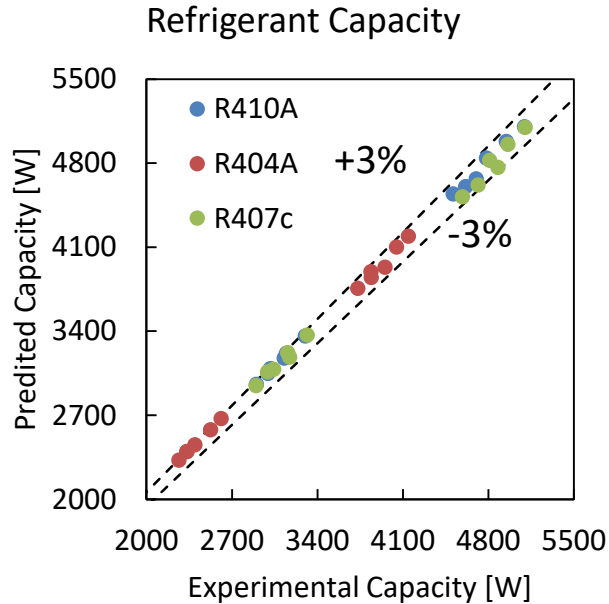
# Literature Survey – CFD-based

Author	Fin type	Tube arrangement	Application range	Accuracy (staggered only)
Bacellar et al. (2015)	Finless	Staggered	$0.5\text{mm} \leq \text{Do} \leq 2.0\text{mm}$ $2 \leq N \leq 40$	j : 15%(92.9% of data) f: 15% (88.1% of data)
Bacellar et al. (2016)	Finless	In-line	$0.5\text{mm} \leq \text{Do} \leq 2.0\text{mm}$ $2 \leq N \leq 40$	j : 20%(80% of data) f: 20%(80% of data)
Bacellar et al. (2014)	Finless	Staggered	$2.0\text{mm} \leq \text{Do} \leq 5.0\text{mm}$ $2 \leq N \leq 20$	j : 10%(98.5% of data) f: 10%(91.9% of data)
Bacellar et al. (2014)	Flat	Staggered	$2.0\text{mm} \leq \text{Do} \leq 5.0\text{mm}$ $2 \leq N \leq 20$	j : 15%(82.1% of data) f: 15% (82.3% of data)
Bacellar et al. (2016)	Wavy Herringbone	Staggered	$2.0\text{mm} \leq \text{Do} \leq 5.0\text{mm}$ $2 \leq N \leq 20$	Nu: 15%(96% of data) Cf: 15%(94% of data)
Bacellar et al. (2015)	Wavy Smooth	Staggered	$2.0\text{mm} \leq \text{Dc} \leq 5.0\text{mm}$ $2 \leq N \leq 10$	j : 20%(64% of data) f: 20% (66% of data)
Bacellar et al. (2016)	Wavy Smooth	Staggered	$2.0\text{mm} \leq \text{Do} \leq 5.0\text{mm}$ $2 \leq N \leq 20$	Nu: 15%(94% of data) Cf: 15%(93% of data)
Sarpotdar et al. (2016)	Slit	Staggered	$3.0\text{mm} \leq \text{Do} \leq 5.0\text{mm}$ $2 \leq N \leq 6$	h: 15%(99% of data) $\Delta P$ : 15%(93% of data)
Sarpotdar et al. (2016)	Louver	Staggered	$3.0\text{mm} \leq \text{Do} \leq 5.0\text{mm}$ $2 \leq N \leq 8$	h: 15%(99% of data) $\Delta P$ : 15%(94% of data)

# Refrigerant Correlations – Internally Enhanced Tubes

Author	Phase	Refrigerant	Application
Shlager et al. (1989)	Two-Phase (horiz.)	Any	-
Ravigururajan & Bergles (1996)	Single Phase	Any	Re = 5000-60,000
Koyama & Yonemoto (2006)	Condensation (horiz.)	Any	Mass Flux: 100-500 kg/m <sup>2</sup> s
Koyama & Yonemoto (2006)	Condensation (horiz.)	CO <sub>2</sub>	Mass Flux: 100-500 kg/m <sup>2</sup> s
Shah (2016)	Condensation (horiz.)	Water, R-11, R-12, R-22, R-32, R-113, R-123, R-125, R-134a, R-142b, R-404A, R-410A, R-502, R-507, isobutane, propylene, propane, benzene, ethanol, methanol, toluene, Dowtherm 209, DME, CO <sub>2</sub>	Tube diameter, mm (in.) 2 to 49 (0.079 to 1.93)
Wu et al. (2013)	Evaporation	Any	Nominal diameter: 2.1-14.8mm
Son & Oh (2012)	Condensation (horiz.)	CO <sub>2</sub>	ID = 4.6 - 4.95mm;

# Correlation Validation



- Using new, tuned, louver fin correlation Sarpotdar et al. (2016), CoilDesigner® predictions match experimental results very closely
- Koyama & Yonemoto (2006) correlation shows acceptable refrigerant-side performance

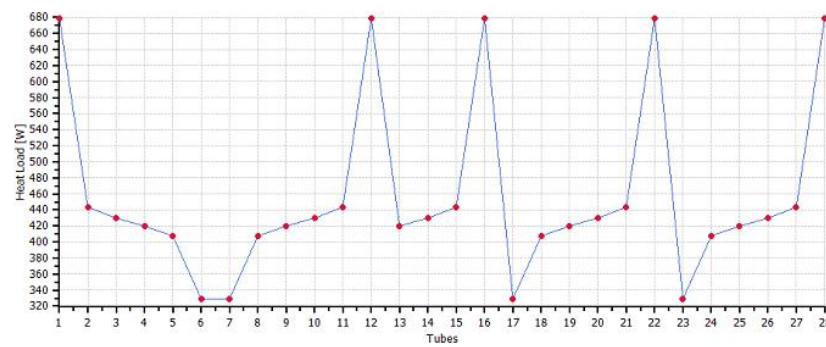
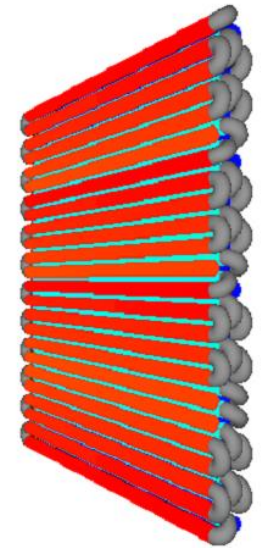
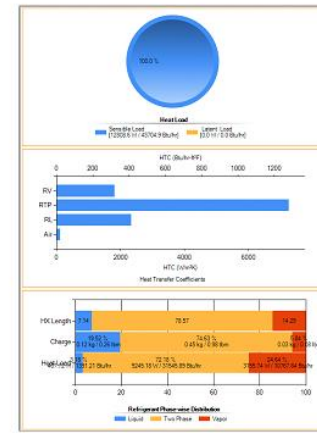
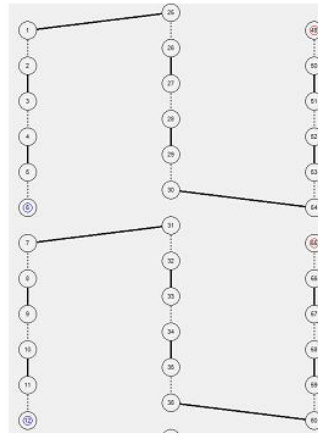
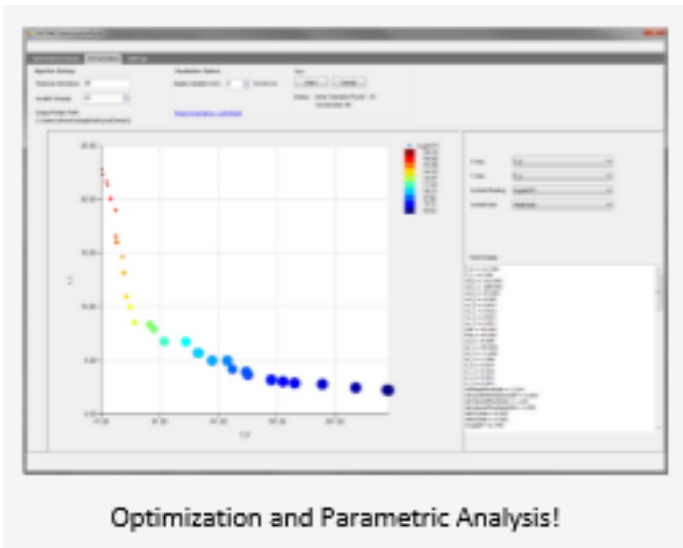
- **Experimental data for correlation Verification & Validation**
- **Opportunity for collaboration**
  - **Cross-validation with data from multiple sources**
  - **Large data base**
  - **Publications**
  - **Access**

# Heat Exchanger Design

## Tools

# CoilDesigner® - Webinar #03

CoilDesigner® is a highly customizable software tool that designs, simulates and optimizes the performance of a variety of heat exchangers. This unique tool helps to shorten product development time frames and associated costs. With one integrated tool, you can design your product, simulate its performance, and optimize it for multiple objectives (e.g. cost, efficiency, and power consumption).



# Applications

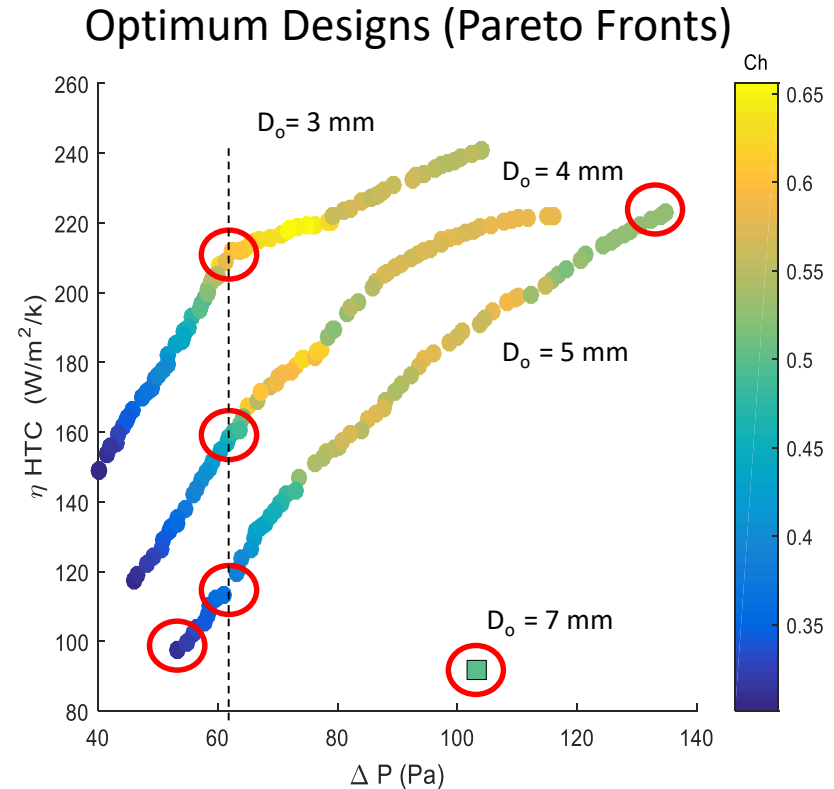
- **Study I: Airside Performance Optimization**
  - New correlations
  - Maximize heat transfer coefficient and minimize pressure drop
- **Study II: Split Condenser Optimization**
  - New correlations + CoilDesigner®
  - Minimize air pressure drop, raw material cost and refrigerant charge
- **Study III: Window AC Condenser**
  - New Correlations + CoilDesigner®
  - Improve system's performance, reduce cost & refrigerant charge



# Study I: Airside Performance Optimization

- New correlations reveal performance potential of smaller diameter tube heat exchangers
- Figure: 3, 4, 5 mm slit fin performance (shaded by slit height)
- Sample fin designs:

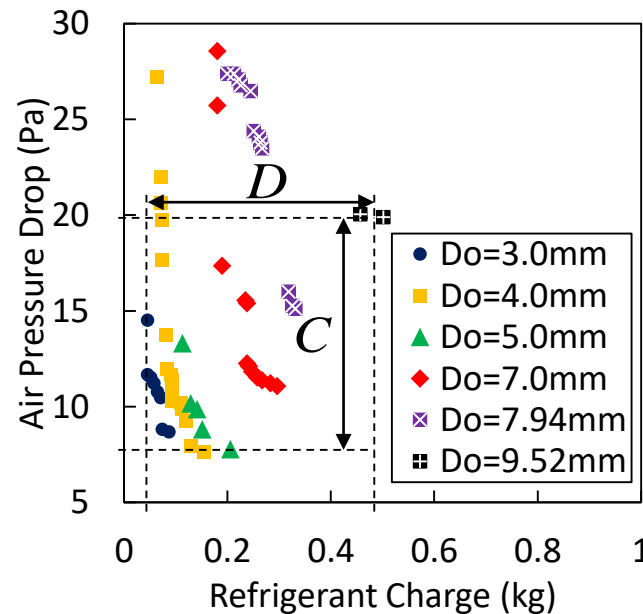
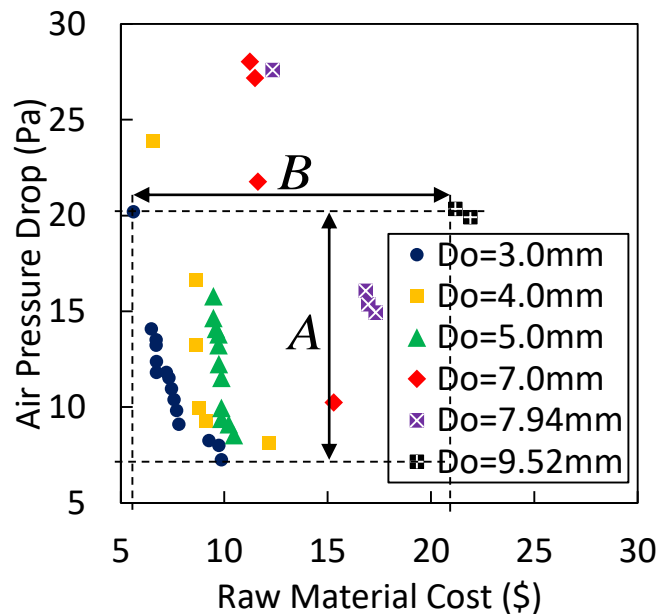
Metric	7 mm	5 mm (max HTC)	5 mm (min ΔP)	5 mm sample	4 mm sample	3 mm sample
$D_o$ (mm)	7	5	5	5	4	3
$u$ (m/s)	2.875	2.875	2.875	2.875	2.875	2.875
HTC ( $W/m^2K$ )	92.4	222.8	97.3	126.5	160.5	214.3
$\Delta P$ (Pa)	113.4	134.8	53.2	65.4	63.53	65.5



Sarpotdar et al. , CFD Based Comparison of Slit Fin and Louver Fin Performance for Small Diameter (3mm to 5 mm) Heat Exchangers, 16th International Refrigeration and Air Conditioning Conference at Purdue, July 11-14, 2016

# Study II: Split Condenser Optimization

- Identify optimal drop-in replacement condensers for room AC
- Constraints: performance should be equivalent to the baseline
- Objectives: minimize air-side pressure drop (improve efficiency), material consumption, and refrigerant charge



A = 64% ↓

B = 74% ↓

C = 62% ↓

D = 91% ↓

# Study III: Window AC Condenser

- Improve system performance
- Reduce cost
- Reduce refrigerant charge
- Slit and louver fin designs considered, working with a manufacturing partner



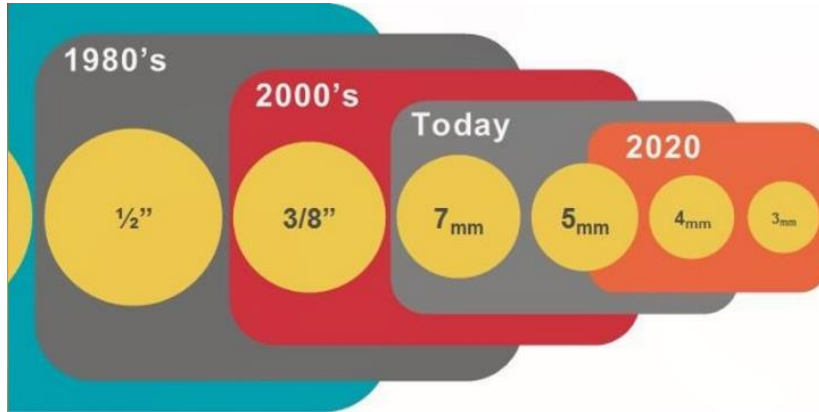
Design	Tube material [kg]	Fin material [kg]	Material Reduction [%]	Simulated Cond. Charge Reduction [%]	Simulated (Measured) COP	COP Improvement [%]
Baseline	1.8	2.5	-	-	2.60 (2.86)	-
Slit fin 17 FPI	1.4	2.0	<b>21%</b>	<b>24%</b>	2.76	<b>6.1%</b>
Louver fin 15 FPI 3 row	1.0	1.3	<b>47%</b>	<b>46%</b>	2.64	<b>1.5%</b>
Louver fin 13 FPI 4 row	1.3	1.4	<b>37%</b>	<b>10%</b>	2.77	<b>6.5%</b>

Experiment: Louver fin coil achieves 10% system charge reduction and 4% COP increase while reducing cost by approximately 40%

# Take Home Messages

- **Small diameters**
  - Compact, less material & less charge
  - Better heat transfer, higher friction
- **Copper tubes**
  - Low thermal resistance
  - Withstands high pressures with less material (small diameter)
  - Corrosion & biofouling resistance
  - Ease of inner grooving
- **Design tools**
  - Correlations are the most cost-effective way of assessing performance
  - Need for experimental data for tuning/modifying these tools
- **Case studies**
  - 3.0mm ~70% higher heat transfer than 5.0mm at same air pressure drop
  - ~60% air pressure drop reduction, ~75% material reduction, ~90% less charge
  - Real system resulted in ~10% less charge (system not heat exchanger), ~4% more COP

# Coming Next



MULTIPLE DATES

### Construction of Small Diameter Copper Tube-Fin Heat Exchangers

by Optimized Thermal Systems, Inc.

Free

## Webinar #02

When:

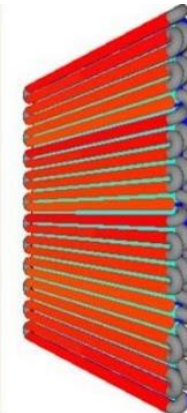
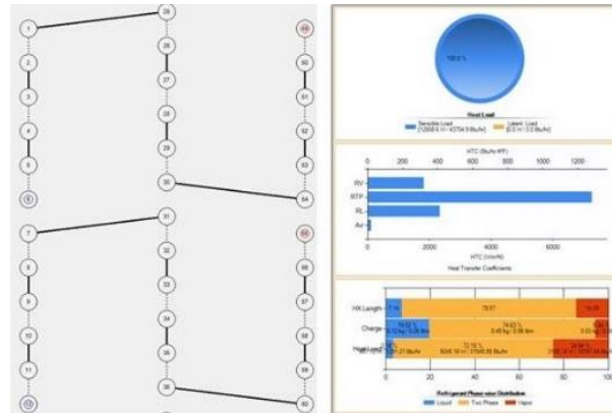
Wednesday April 26<sup>th</sup>, 2017  
Morning Session: 8:00AM EST  
Afternoon Session: 4:00PM EST

Registration: <http://www.microgroove.net/ots-ica-educational-outreach>

## Webinar #03

When:

Wednesday May 24<sup>th</sup>, 2017  
Morning Session: 8:00AM EST  
Afternoon Session: 4:00PM EST



MULTIPLE DATES

### Effective Design of Small Diameter Copper Tube-Fin Heat Exchangers

by Optimized Thermal Systems, Inc.

Free

# THANK YOU!

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